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# On Kato's inequality for the relativistic Schrodinger operators with magnetic fields (Mathematical Aspects of Quantum Fields and Related Topics)

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# On Kato's inequality for the relativistic Schrödinger operators with magnetic fields \*

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This lecture deals with whether *Kato's inequality* holds for the *magnetic* relativistic Schrödinger operator  $H_A$  with vector potential  $A(x)$  and mass  $m \geq 0$  associated with the classical relativistic Hamiltonian symbol  $\sqrt{(\xi - A(x))^2 + m^2}$  such as

$$\operatorname{Re}[(\operatorname{sgn} u)H_A u] \geq \sqrt{-\Delta + m^2} |u|, \quad (1)$$

in the distribution sense, for  $u$  is in  $L^2(\mathbf{R}^d)$  with  $H_A u$  in  $L^1_{\text{loc}}(\mathbf{R}^d)$ .

In the literature there are three magnetic relativistic Schrödinger operators associated with the classical symbol (1) (e.g. [I12], [I13]). The first two  $H_A^{(1)}$  and  $H_A^{(2)}$  are to be defined as pseudo-differential operators: for  $f \in C_0^\infty(\mathbf{R}^d)$ ,

$$(H_A^{(1)} f)(x) := \frac{1}{(2\pi)^d} \iint_{\mathbf{R}^d \times \mathbf{R}^d} e^{i(x-y) \cdot \xi} \sqrt{\left(\xi - A\left(\frac{x+y}{2}\right)\right)^2 + m^2} f(y) dy d\xi, \quad (2)$$

$$(H_A^{(2)} f)(x) := \frac{1}{(2\pi)^d} \iint_{\mathbf{R}^d \times \mathbf{R}^d} e^{i(x-y) \cdot \xi} \sqrt{\left(\xi - \int_0^1 A((1-\theta)x + \theta y) d\theta\right)^2 + m^2} f(y) dy d\xi. \quad (3)$$

The third  $H_A^{(3)}$  is defined as the square root of the nonnegative selfadjoint (nonrelativistic Schrödinger) operator  $(-i\nabla - A(x))^2 + m^2$  in  $L^2(\mathbf{R}^d)$ :

$$H_A^{(3)} := \sqrt{(-i\nabla - A(x))^2 + m^2}. \quad (4)$$

$H_A^{(1)}$  is the so-called Weyl pseudo-differential operator ([ITa 86], [I89]).  $H_A^{(2)}$  is a modification of  $H_A^{(1)}$  given in [IfMP 07], and  $H_A^{(3)}$  used in [LSei 10] to discuss *relativistic stability of matter*.

All these three operators are nonlocal operators, and, under suitable condition on  $A(x)$ , become selfadjoint. For  $A = 0$  we put  $H_0 = \sqrt{-\Delta + m^2}$ , where  $-\Delta$  is the *minus-signed* Laplacian in  $\mathbf{R}^d$ .  $H_A^{(2)}$  and  $H_A^{(3)}$  are gauge-covariant, but not  $H_A^{(1)}$ .

Inequality (1) for  $H_A^{(1)}$  has been shown in [I89], [ITs 76], and similarly will be for  $H_A^{(2)}$ .

For  $H_A^{(3)}$ , we assume that  $d \geq 2$ , as in case  $d = 1$  any magnetic vector potential can be removed by a gauge transformation. We want to show

**Theorem 1** (Kato's inequality). *Let  $m \geq 0$  and assume that  $A \in [L^2_{\text{loc}}(\mathbf{R}^d)]^d$ . Then if  $u$  is in  $L^2(\mathbf{R}^d)$  with  $H_A^{(3)} u$  in  $L^1_{\text{loc}}(\mathbf{R}^d)$ , then the distributional inequality holds:*

$$\operatorname{Re}[(\operatorname{sgn} u)H_A^{(3)} u] \geq \sqrt{-\Delta + m^2} |u|, \quad (5)$$

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or

$$\operatorname{Re}[(\operatorname{sgn} u)H_A^{(3)}u] \geq [\sqrt{-\Delta + m^2} - m]|u|. \quad (6)$$

Here  $(\operatorname{sgn} u)(x) := \overline{u(x)}/|u(x)|$ , if  $u(x) \neq 0$ ;  $= 0$ , if  $u(x) = 0$ .

From Theorem 1 follows the following corollary.

**Corollary** (Diamagnetic inequality) (cf. [FLSei 08], [HILo 12, 13]) *Let  $m \geq 0$  and assume that  $A \in [L_{\text{loc}}^2(\mathbf{R}^d)]^d$ . Then  $f, g \in L^2(\mathbf{R}^d)$*

$$|(f, e^{-t[H_A^{(3)} - m]}g)| \leq (|f|, e^{-t[H_0 - m]}|g|). \quad (7)$$

Once Theorem 1 is established, we can apply it to show the following theorem on essential selfadjointness of the relativistic Schrödinger operator with both vector and scalar potentials  $A(x)$  and  $V(x)$ :

$$H := H_A^{(3)} + V. \quad (8)$$

**Theorem 2.** *Let  $m \geq 0$  and assume that  $A \in [L_{\text{loc}}^2(\mathbf{R}^d)]^d$ . If  $V(x)$  is in  $L_{\text{loc}}^2(\mathbf{R}^d)$  with  $V(x) \geq 0$  a.e., then  $H = H_A^{(3)} + V$  is essentially selfadjoint on  $C_0^\infty(\mathbf{R}^d)$  and its unique selfadjoint extension is bounded below by  $m$ .*

The characteristic feature is that, unlike  $H_A^{(1)}$  and  $H_A^{(2)}$ ,  $H_A^{(3)}$  is, since being defined as an operator square root (4), neither an integral operator nor a pseudo-differential operator associated with a certain tractable symbol.  $H_A^{(3)}$  is, under the condition of the theorem, essentially selfadjoint on  $C_0^\infty(\mathbf{R}^d)$  so that  $H_A^{(3)}$  has domain

$$D[H_A^{(3)}] = \{u \in L^2(\mathbf{R}^d); (i\nabla + A(x))u \in L^2(\mathbf{R}^d)\},$$

which contains  $C_0^\infty(\mathbf{R}^d)$  as an operator core. Although we can know the domain of  $H_A^{(3)}$  is determined, the point which becomes crucial is in how to derive regularity of the weak solution  $u \in L^2(\mathbf{R}^d)$  of equation

$$H_A^{(3)}u \equiv \sqrt{(-i\nabla - A(x))^2 + m^2}u = f, \quad \text{for given } f \in L_{\text{loc}}^1(\mathbf{R}^d).$$

We shall show inequality (5)/(6), modifying the method used in the case ([I 89], [ITs 92]) for the Weyl pseudo-differential operator  $H_A^{(1)}$ , basically along the idea of Kato's original proof for the magnetic nonrelativistic Schrödinger operator  $\frac{1}{2}(-i\nabla - A(x))^2$  in [K 72]. However, the present case seems to be not so simple as to need much further modification within "operator theory *plus alpha*".

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